

# Unary self-verifying symmetric difference automata

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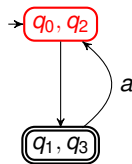
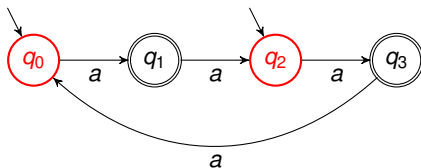
July 2016



# Self-verifying NFA

## Self-verifying NFA

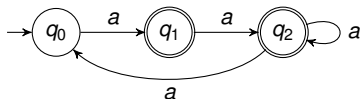
- Final states are accepting or rejecting:  $F = F^a \cup F^r$
- Rejection is different from failure to accept
- Every path with same label leads to same acceptance/rejection result



# Symmetric difference NFA (XNFA)

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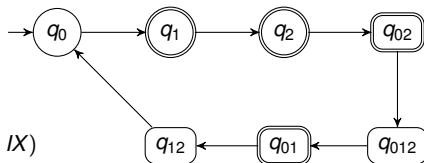
- Linear machine; LFSR; weighted automaton
- Parity machine: acceptance on an odd number of accept states



$$M = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 1 \end{bmatrix}$$

$$c(X) = \det(M - IX)$$

$$c(X) = X^3 + X^2 + 1$$



# Self-verifying XNFA

## Definition

A self-verifying symmetric difference finite automaton (SV-XNFA) is a 6-tuple  $N = (Q, \Sigma, \delta, Q_0, F^a, F^r)$ , where  $Q, \Sigma, \delta$  and  $Q_0$  are defined as for XNFA, and  $F^a$  and  $F^r$  are defined as for SV-XNFA. That is, each state in the SV-XDFA equivalent to  $N$  must contain an odd number of states from either  $F^a$  or  $F^r$ , but not both.

- Unary SV-XNFA
- Existence, descriptonal complexity



# Analysis

## Analysis

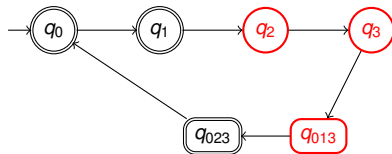
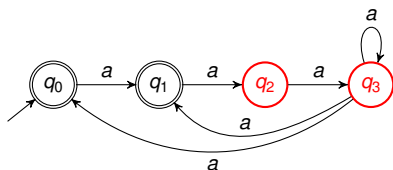
- Unary XNFA cycle structure – polynomial in Galois field  $\text{GF}(2)$
- Are there polynomials that guarantee existence (or not) of non-trivial SV assignments?
- There is no  $n$ -state SV-XNFA such that its characteristic polynomial is primitive and irreducible
- Therefore, no  $n$ -state SV-XNFA such that equivalent DFA has  $2^n - 1$  states



# Analysis

## Theorem

Let  $c(X) = X^n + X^{n-1} + X + 1$ , with companion matrix  $M$ , and let  $N$  be an XNFA with transition matrix  $M$  and  $Q_0 = \{q_0\}$ . Then  $N$  has an interesting SV-assignment, and the equivalent minimal DFA forms a cycle of length  $2n - 2$ .



# Family of languages

## Theorem

For any integer  $n \geq 2$ , let  $\mathcal{L}_n = a^{(2n-2)i+j}$ , for  $i \geq 0$  and  $0 \leq j < n-1$ , and  $\mathcal{L}_n^c = a^{(2n-2)i+j}$ , for  $i \geq 0$  and  $n-1 \leq j < 2n-2$ . Then there exists a pair of SV-XNFA with  $n$  states and the same transition graph that accept  $\mathcal{L}_n$  and  $\mathcal{L}_n^c$  respectively. Moreover, these languages each require an SV-XDFA with  $2n-2$  states.



# Descriptive complexity (unary)

## Known

$2^n - 1$  upper bound, not tight

## Our result

For any  $n \geq 2$ , there is an interesting SV-XNFA  $N$  whose equivalent minimal  $N_D$  has  $2^{n-1} - 1$  states.

- $c(X) = (X + 1)\phi(X)$ , with  $\phi(X)$  primitive





# Descriptive complexity (unary)

## Lemma

Let  $c(X) = (X + 1)\phi(X)$  be a polynomial of degree  $n$  with non-singular companion matrix  $M$ , and let  $N$  be an XNFA with transition matrix  $M$  and  $Q_0 = \{q_0\}$ . Then the equivalent XDFA  $N_D$  has the following properties:

- 1  $[q_0], [q_1], \dots, [q_{n-1}] \in Q_D$
- 2  $|Q_D| > n$
- 3  $|d|$  is odd for  $d \in Q_D$



# Descriptive complexity (unary)

## Proof

- $X + 1$  factor of  $c(X)$  therefore 1 is root of  $c(X)$
- $c(X) = X^n + \dots + 1$  has even number of terms, and hence  $\delta(q_{n-1}, a) = \{q_c | c \in C\} = Q_C$  where  $|C|$  is odd.
- Let  $P = \{q_{i_0}, q_{i_1}, \dots, q_{i_k}\} \subseteq Q$  with  $|P|$  odd. If  $i_j < n - 1$  for all  $0 \leq j \leq k$ , then  $\delta(P, a) = \{q_{i_0+1}, q_{i_1+1}, \dots, q_{i_k+1}\}$ , and so  $|\delta(P, a)|$  must be odd as well.
- But what if  $q_{n-1} \in P$ ? Assume that  $q_{n-1} = q_{i_k}$ . Let  $P' = \{q_{i_0+1}, q_{i_1+1}, \dots, q_{i_k}\}$ , so  $|P'| = |P| - 1$  and therefore even. Then  $\delta(P, a) = P' \oplus Q_C$ . Let  $m = |P' \cap Q_C|$ . Then  $|\delta(P, a)| = |P'| + |Q_C| - 2m$ . Since  $|P'|$  is even,  $|Q_C|$  is odd and  $2m$  is even, it follows that  $|\delta(P, a)|$  is odd.

## Descriptive complexity (unary)

### Lemma

Let  $c(X) = (X + 1)\phi(X)$  be a polynomial of degree  $n$  with non-singular companion matrix  $M$ . Then there is an XNFA  $N$  with transition matrix  $M$  and  $Q = \{q_0\}$  for which there is an interesting SV-assignment.

### Proof

Any choice of  $F^a$  and  $F^r$  so that  $F^a \cup F^r = Q$  and  $F^a \cap F^r = \emptyset$



# Descriptive complexity (unary)

## Lemma

Let  $c(X) = (X + 1)\phi(X)$  be a polynomial of degree  $n$  with non-singular companion matrix  $M$  and where  $\phi(X)$  is a primitive polynomial. Let  $N$  be an XNFA with transition matrix  $M$  and  $Q_0 = \{q_0\}$ , then  $N_D$  forms a cycle of length  $2^{n-1} - 1$ .



## Descriptive complexity (unary)

### Proof

Factors  $X + 1$  and  $\phi(X)$  each induce a single cycle of length  $2^m - 1$  with  $m = 1$  and  $m = n - 1$  respectively. Hence, the possible cycle lengths are

$$\gcd(1, 2^{n-1} - 1) \text{ cycle(s) of length } \text{lcm}(1, 2^{n-1} - 1)$$



# Descriptive complexity (unary)

## Theorem

For any  $n \geq 2$ , there is a language  $\mathcal{L}_n$  so that some  $n$ -state SV-XNFA accepts  $\mathcal{L}_n$  and the minimal SV-XDFA that accepts  $\mathcal{L}_n$  has  $2^{n-1} - 1$  states.

## Descriptive complexity (unary)

### Proof

- $F^a = \{q_0\}$  and  $F^r = Q \setminus F^a$ . Then  $\mathcal{L} = a^{(2^{n-1}-1)i+j}$  for  $i \geq 0$  and  $j \in \{0, n\}$ , since  $q_0 \in \delta(q_0, a^n)$  and  $q_0 \notin \delta(q_0, a^m)$  for  $m < n$ .
- If  $\exists N'_D$  with  $< 2^{n-1} - 1$  states, then there must be some  $d_j \neq \{q_0\} \in Q_D$  such that  $q_0 \in d_j$ ,  $q_0 \in \delta(d_j, a^n)$  and there is no  $m < n$  so that  $q_0 \in \delta(d_j, a^m)$ .
- Let  $d_k$  be any state in  $N_D$  such that  $d_k \neq \{q_0\}$ . Let  $\max(d_k)$  be the largest subscript of any SV-XNFA state in  $d_k$ . Then  $\max(d_k) > 0$ . Let  $m = n - \max(d_k)$ , so  $m < n$ , then  $q_0 \in \delta(d_k, a^m)$ . That is, for any  $d_k$  there is an  $m < n$  so that  $q_0 \in \delta(d_k, a^m)$ .
- Thus no  $N'_D$  with  $< 2^{n-1} - 1$  states that accepts  $\mathcal{L}$ .



Questions?